

25p/1745

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT (Under 37 CFR 1.97(b) or 1.97(c))		Document No. 98-2069
In Re Application Of: Guidotti, et al.		
Serial No. 09/432,334	Filing Date November 2, 1999	Examiner J. Maples
Group Art Unit 1745		
Title: ENERGY STORAGE AND CONVERSION DEVICES USING THERMAL SPRAYED ELECTRODES		
Address to: Assistant Commissioner for Patents Washington, D.C. 20231		
37 CFR 1.97(b)		
1. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.		
37 CFR 1.97(c)		
2. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:		
<input checked="" type="checkbox"/> the statement specified in 37 CFR 1.97(e);		
OR		
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).		

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ENERGY STORAGE AND CONVERSION DEVICES USING THERMAL SPRAYED ELECTRODES			
<div style="text-align: center;"> Payment of Fee (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p)) </div> <div style="margin-top: 10px;"> <input type="checkbox"/> A check in the amount of _____ is attached. <input checked="" type="checkbox"/> The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 06-1130 as described below. A duplicate copy of this sheet is enclosed. </div> <div style="margin-left: 40px; margin-top: 5px;"> <input type="checkbox"/> Charge the amount of _____ <input type="checkbox"/> Credit any overpayment. <input checked="" type="checkbox"/> Charge any additional fee required. </div> <div style="display: flex; justify-content: space-between; margin-top: 10px;"> <div style="width: 45%;"> <p>Certificate of Transmission by Facsimile*</p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> _____ (Date) </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> _____ Signature </div> <div style="border: 1px solid black; padding: 5px;"> _____ Typed or Printed Name of Person Signing Certificate </div> </div> <div style="width: 45%;"> <p>Certificate of Mailing by First Class Mail</p> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> I certify that this document and fee is being deposited October 10, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231. </div> <div style="border: 1px solid black; padding: 5px; margin-bottom: 5px;"> Signature of Person Mailing Correspondence </div> <div style="border: 1px solid black; padding: 5px;"> Tracy A. Sweatt Typed or Printed Name of Person Mailing Certificate </div> </div> </div> <div style="margin-top: 10px;"> <p>*This certificate may only be used if paying by deposit account.</p> <div style="display: flex; justify-content: space-between; align-items: flex-start;"> <div style="width: 45%;"> Patricia S. DeSimone Registration No. 48,137 Customer No. 23413 Phone No. (860) 286-2929 </div> <div style="width: 45%;"> <p>Dated: October 10, 2002</p> </div> </div> </div>			

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**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT**

Docket No.
98-2069

Application Of: **Guidotti, et al.**

Serial No.	Filing Date	Examiner	Group Art Unit
09/432,334	November 2, 1999	J. Maples	1745

Invention: **ENERGY STORAGE AND CONVERSION DEVICES USING THERMAL SPRAYED ELECTRODES**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

Statement Under 37 CFR 1.97(e)(1)

- ☐ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☒ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Patricia S. DeSimone
Signature

Dated: **October 10, 2002**

Patricia S. DeSimone
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Customer No. 23413
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October 10, 2002 with the U.S. Postal Service as first
class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.

Tracy A. Sweatt
Signature of Person Mailing Correspondence

Tracy A. Sweatt

Typed or Printed Name of Person Mailing Correspondence

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